

## CHKLC DIRECTOR TRAINING SERIES 2026

A six-part training programme aiming at supporting directorship in Hong Kong  
\*Fulfilling the latest HKEX mandatory Director Training requirements

**(Total 12 CPT Hours)**



**23 Apr / 28 May / 25 Jun / 24 Sep / 29 Oct / 19 Nov 2026**



**Thursday 4:00 pm – 6:00 pm**

Company directors play a pivotal role in company success. With the increasing demand of corporate governance and ESG of listed companies both from the regulators and shareholders, coupled with the tightening of various sets of rules and regulations with more serious consequences, company directors operate in an increasingly challenging environment. Once again, the Chamber of Hong Kong Listed Companies is launching a training programme for company directors. Addressing the common issues faced by directors, the programme will equip directors with the most relevant information and updated knowledge about directorship and will help them discharge their duties effectively.

In addition, as of 1 July 2025, under the new Corporate Governance Code, First-time Directors are required to receive 24 minimum hours of training within 18 months from appointment. Attending this training programme will help fulfill this training requirement as well as serving as a refresher for incumbent directors to update their knowledge.

The whole programme comprises six sessions dealing with the important aspects of directorship for a listed company. They range from corporate governance and ESG, risk management, internal control to the latest updates in various applicable rules and laws.

The Chamber is pleased to partner with BDO in offering this programme whose involvement ensures the relevance of the topics covered and adds depth to the discussion.

**Date:** 23 Apr / 28 May / 25 Jun / 24 Sep / 29 Oct and 19 Nov  
**Time:** 4:00 pm – 6:00 pm  
**Venue:** Hybrid  
 Physical: BDO Limited, 25/F, Wing On Centre, 111 Connaught Road, Central, Hong Kong  
 Zoom details: will be provided to participants in the confirmation email  
 To enhance the knowledge of participants, there will be group discussions onsite at each session.  
 Participants are encouraged to attend the training sessions in person.

**Language:** Cantonese

<b>Fee:</b>		<u>Full Programme</u>	<u>Per Session(s)</u>
CHKLC Member / BDO Clients & Contacts		HKD3,200.00	HKD600.00
Others		HKD4,000.00	HKD750.00

**CPT:** 2 CPT Hours Per Session (Total 12 CPT Hours)

**Topics:**

1. Directors' Duties and Corporate Governance: Practical Insights and Regulatory Perspectives
2. Digital-asset fraud risks for Hong Kong listed companies
3. Building sustainable governance for the evolving virtual asset landscape
4. Financial reporting pitfalls & corporate governance update
5. The evolving tax and transfer pricing landscape: from compliance to confidence
6. Annual Regulatory Overview 2026

Enquiry: CHKLC Secretariat ☎ (852)2970 0887 📠 (852)2970 0555 ✉ info@chkclc.org

CHKLC reserves the right of final discretion should there be any changes to the event.

[www.chkclc.org](http://www.chkclc.org)



## SESSION 1: Directors' Duties and Corporate Governance: Practical Insights and Regulatory Perspectives

Roberta Chen, Partner, Baker Mckenzie  
Brian K.T. Wong, Partner, Baker Mckenzie

23 APR (THU)  
(2 CPT)

### Overview: |

- A high-level overview of directors' duties and regulatory requirements
- Practical guidance on how directors should perform their role and guide the company
- Board dynamics and other governance considerations
- Common pitfalls and risks directors should be aware of
- Case studies

## SESSION 2: Digital-asset fraud risks for Hong Kong listed companies

Pokit Lok, Principal of Value Creation and Restructuring Services, BDO

28 MAY (THU)  
(2 CPT)

### Overview: |

- Digital asset fraud typologies & global case studies
- Hong Kong regulatory landscape & director accountabilities
- Fraud red flags & governance weaknesses in listed companies
- Digital asset fraud investigation process
- Strengthening corporate defences & board oversight

## SESSION 3: Building sustainable governance for the evolving virtual asset landscape

Vivian Chow, Director of Risk Advisory Services, BDO  
Ivan So, Principal of Risk Advisory Services, BDO

25 JUN (THU)  
(2 CPT)

### Overview: |

- The governance imperative: Why 2026 is different?
- Understanding the evolving virtual asset landscape - regulatory landscape and compliance
- Board-level governance framework for virtual asset adoption
- Key oversight areas: licensing, custody, risk management
- Practical tools: skills matrix, board evaluation, reporting

**SESSION 4: Financial reporting pitfalls & corporate governance update****24 SEP (THU)  
(2 CPT)**

Betsy Pon, Director of Technical Department, BDO  
Wendy Kam, Managing Director, Corporate Secretarial Services,  
Ascentium Corporate Services (HK) Limited

## Overview:

Financial reporting pitfalls

- Highlights of common pitfalls on financial reporting and other annual report disclosures
- Highlights of new financial reporting and annual report disclosure requirements

Corporate governance update

- Key updates under the enhanced Corporate Governance Code following 2025 Listing Rules amendments
- Board governance enhancements (skills matrix, board evaluation, time commitment)
- INED-related changes (nine-year cap, overboarding limit, lead INED)
- Mandatory director training (with additional focus on new directors)
- Strengthened diversity and inclusion requirements
- Enhanced disclosure on risk management, internal controls, and dividend policy

**SESSION 5: The evolving tax and transfer pricing landscape: from compliance to confidence****29 OCT (THU)  
(2 CPT)**

Abigail Li, Director of Tax Services, BDO  
Christina Mai, Director of Transfer Pricing, BDO

## Overview:

Tax

- Major international tax developments and impact on compliance requirements in Hong Kong
- Important domestic updates in tax law and administrative practices
- Key takeaways from recent tax controversy and litigation cases

Transfer Pricing (TP)

- What are the potential TP challenges from tax authorities?
- TP audit case sharing
- Proactive TP risk management strategies
- TP compliance best practices for listed and pre IPO companies

**SESSION 6: Annual Regulatory Overview 2026****19 NOV (THU)  
(2 CPT)**

Sherman Yan, Managing Partner, Head of Litigation & Dispute Resolution, ONC Lawyers

## Overview:

- An annual update on the laws and regulations that affect or are of concern to listed companies
- An annual date on the amendments (if any) to the Listing Rules, the Securities and Futures Ordinance, and the Companies Ordinance affecting listed companies

## ABOUT THE SPEAKERS



**Roberta Chen**  
**Partner, Baker McKenzie**

Roberta Chen is a partner in Baker McKenzie's Hong Kong office and a member of the Dispute Resolution Group. She advises on a wide range of cross-border disputes, regularly handling corporate and commercial litigation, including company and shareholder matters. Her practice often involves complex PRC-related issues, such as joint venture disputes with Chinese entities, enforcement of foreign judgments and arbitral awards in Hong Kong/China, cross-border investments, and contractual breaches involving PRC counterparties. She is highly experienced in corporate governance, boardroom conflicts and minority shareholder rights for Hong Kong-listed companies, and is well-versed in regulatory frameworks and alternative dispute resolution involving both Hong Kong and PRC parties. She also has extensive experience in insurance and contentious employment matters.

Roberta has been recognized by The Legal 500 (2023–2024) for her reputation in property-related, corporate and commercial litigation, and is ranked as an “Up-and-Coming Lawyer” by Chambers (2023–2024). She is a solicitor-advocate with full civil court rights of audience and serves on the Higher Rights Assessment Board examining panel (2024–2026) and the Law Society’s Civil Litigation Committee. She was appointed District Court Temporary Registrar in January 2023 and is also a licensed GBA lawyer.



**Brian K.T. Wong**  
**Partner, Baker McKenzie**

Brian Wong is a partner in Baker McKenzie's Hong Kong office and a member of the Firm's Capital Markets Practice Group.

Brian practices mainly in the fields of capital markets, public mergers and acquisitions, corporate law and corporate governance. He represents clients in a wide range of transactions, including equity and debt capital markets transactions as well as public mergers and acquisitions.

In addition, Brian advises Hong Kong listed companies, shareholders, directors and their other stakeholders on compliance, disciplinary and regulatory matters.



### **Pokit Lok**

**Principal of Value Creation and Restructuring Services, BDO**

Pokit Lok is a principal of Value Creation and Restructuring Services.

With over 20 years of experience in IT operations, security reviews, and enterprise application implementations including RPA, S&OP, PLM, CRM, and ERP, Pokit has worked with leading organisations and technology consulting firms to optimise processes and strengthen governance. He advises on IT investigations, governance frameworks, IT general and application controls, and business process re-engineering.

As an active contributor to the professional community, Pokit serves as a speaker for (ISC)<sup>2</sup> Safe and Secure Online school programs, a CRISC RM SME Reviewer for ISACA, and an instructor for public courses at the Hong Kong Productivity Council and Vocational Training Council. He regularly delivers seminars to advance cybersecurity awareness and compliance with emerging technologies.

Currently, Pokit leads the digital team, providing expertise in digital forensics, IT investigations, cybersecurity, data privacy assessments, and digital transformation advisory. His work spans security risk assessments, vulnerability testing, privacy impact evaluations, and compliance audits including MCRA, C-RAF, SWIFT CSCF, SRAA, VATPO licensing, and SOC 2 attestation, helping organisations safeguard operations and meet regulatory standards.

#### **Qualifications and professional affiliations**

- Master of Economics
- Certified Lead Forensic Examiner
- Certified Information Systems Auditor
- Certified Information Systems Security Professional
- Certified Data Privacy Solutions Engineer
- Certified ISO27001 Lead Auditor
- Automation Anywhere Certified Advanced RPA Professional (V11)
- UiPath RPA Developer Foundation and Solution Architect
- SAP Consultant Certification (FI/CO)
- SAP Certified Development Associate (ABAP)
- Certificate in Business Accounting

## ABOUT THE SPEAKERS



**Vivian Chow**

**Director of Risk Advisory Services, BDO**

Vivian Chow is a director of Risk Advisory Services, specialising in providing clients with assistance in their internal audit function and in meeting corporate governance requirements.

She has over 19 years of experience working with multi-national publicly and privately held organisations across a wide array of industries include working with clients to achieve corporate governance compliance, SOX compliance readiness, ERM advisory, internal audit/internal control assistance, business process re-engineering and implementation, and various regulatory compliance review including Hong Kong listed company resumptions, Foreign Corrupt Practices Act (FCPA), and Special Purpose Acquisition Companies (SPACs).

Her wealth of experiences also extends to assisting non-profit boards and overseeing government and quasi-government with governance, compliance and internal control review engagements, as well as independent audit risk assessments.

As a dedicated and passionate advocate for sustainability, environmental and socially responsible investing space, Vivian is also a Certified Environmental Social Governance Analyst CESGA. She is a frequent speaker of various subject matter in her practice at business and academic forums and listed and non-listed companies.

### **Qualifications and professional affiliations**

- Certified Public Accountant (United States)
- Certified Environmental Social Governance Analyst CESGA
- Certified Digital Assets & MiCA® DiAM EFFAS



**Ivan So**

**Principal of Risk Advisory Services, BDO**

Ivan So is a Principal of Risk Advisory Services.

Leveraging on his over 10 years of professional experience in managing various assurance and advisory engagements, he is responsible for providing corporate governance review, risk assessment, internal audit, regulatory compliance review and AML/CFT advisory services for financial services and other clients of the firm.

His clientele covers different sectors including banking, securities dealing and advisory, asset management, online payment gateway, money service operator and stored value facility in Hong Kong, Chinese Mainland, Singapore and other Asian countries/jurisdictions.

Ivan has an in-depth understanding of compliance and internal control best practices and acts as engagement manager for his financial services, listed and other non-listed clients. He was also a trainer for senior management of the financial services clients on regulatory compliance and AML/CFT best practices.

#### **Current professional/public appointments**

- Member, Young Members Committee of The Hong Kong Institute of Certified Public Accounts (2024 – present)
- Vice-Chairman, Standard and Best Practices of ESG Committee of The Hong Kong Institute of Environmental, Social and Governance (2024 – present)
- Vice-President, The Association of Chinese Internal Auditors (2025 – present)

#### **Qualifications and professional affiliations**

- Hong Kong Certified Public Accountant
- Member of Institute of Chartered Accountants in England and Wales
- Member of Association of Chartered Certified Accountants
- EFFAS Certified ESG Analyst
- HKIB Associate Anti-Money Laundering Professional
- HKIB Associate Fintech Professional
- HKIB Associate Compliance Professional

## ABOUT THE SPEAKERS



**Betsy Pon**  
**Director of Technical Department, BDO**

Betsy Pon is a director of Technical Department.

Betsy is an experienced professional with robust background in offering in-house technical support for various type of Hong Kong capital market transactions, such as IPOs, notifiable transactions and debt offerings etc. With over 15 years of technical and market knowledge in Hong Kong capital market, she has established herself as a subject matter expert within the organisation particularly in areas of Hong Kong Listing Rules and the professional standards and other rules and regulations governing Hong Kong capital market transactions.

Betsy is frequently invited to deliver customised training sessions relating to Hong Kong capital market to Hong Kong listed issuers.

### **Qualifications and professional affiliations**

- Hong Kong Certified Public Accountant
- Member of the Institute of Chartered Accountants in England and Wales



**Wendy Kam**  
**Managing Director, Corporate Secretarial Services,**  
**Ascentium Corporate Services (HK) Limited**

Wendy Kam has over 30 years of experience in the corporate secretarial profession. Her expertise spans corporate advisory, regulatory compliance, corporate restructuring, and initial public offerings (IPOs), as well as ongoing compliance for listed companies in Hong Kong SAR.

Throughout her career, she has served as Company Secretary for various listed companies in Hong Kong SAR and was a member of the Companies Registry Customer Liaison Group. Ms. Kam was reappointed as a member of the Standing Committee on Company Law Reform (SCCLR) on 1 February 2026 for a term of two years. She also participates from time to time in the work of the HKCGI, including sharing at seminars and serving as a Vice-Chairman of its Professional Services Panel.

### **Professional Qualifications**

- Fellow of The Hong Kong Chartered Governance Institute (formerly "The Hong Kong Institute of Chartered Secretaries" (HKICS))
- Fellow of The Chartered Governance Institute (CGI) (formerly "The Institute of Chartered Secretaries and Administrators" (ICSA))
- Holder of HKCGI Practitioner's Endorsement Certificate



**Abigail Li**

**Director of Tax Services, BDO**

Abigail Li is a Tax Director based in Hong Kong.

Abigail has extensive experience in Hong Kong and international tax and in a wide range of industries including asset management and financial services, corporate treasury, commodities, shipping, industrial and consumer products. Her clients have ranged from well-established large multinational companies (MNCs) to start-ups or new economy companies.

Abigail is experienced in advising on sophisticated technical tax issues in Hong Kong, and also in respect of cross border transactions involving Hong Kong including tax planning, group structuring, M&A and cross border financial products or transactions.

Abigail is also experienced in assisting clients in various applications with the Hong Kong Inland Revenue Department and Stamp Office including application for advance ruling, certificate of tax residency and stamp duty relief.

Abigail has in-house experience as an Asia Pacific tax manager in a US listed Fortune 500 MNC, resolving local and regional tax issues from operations, treasury, etc.

Abigail's recent projects include structuring tax advice in respect of fund formation and asset management group, tax due diligence for M&A, legal entity rationalisation, financial assets sale and purchase, securitisation, aircraft and ship leasing, etc. She is also a contributor of tax articles for tax journals and publications.

### **Qualifications and professional affiliations**

- Fellow of the Association of Chartered Certified Accountants (ACCA)
- Member of ACCA Tax Subcommittee

## ABOUT THE SPEAKER



**Christina Mai**  
**Director of Transfer Pricing, BDO**

Christina Mai is a Transfer Pricing Director of BDO.

Christina has almost 20 years of extensive experience in providing transfer pricing services for multinational enterprises. Before joining BDO, she worked in transfer pricing departments of three international accounting firms located in Hong Kong, Shenzhen, Chicago, and Singapore. She possesses in-depth practical experience in Chinese Mainland, Hong Kong, the United States, and Singapore. Throughout her career, Christina has served clients across a variety of industries, including energy, agriculture, e-commerce, pharmaceuticals, industrial equipment, automobiles, electronics and electrical appliances, real estate, communications equipment, finance and high-tech sectors.

Christina has participated in various transfer pricing engagements, including the application of advance pricing arrangement (APA), tax dispute resolution, and contemporaneous transfer pricing documentation preparation. She has provided value chain planning and related-party transaction policy review services for multinational enterprises in Hong Kong, Chinese Mainland, Singapore and the United States.

Christina has been invited as a speaker at transfer pricing seminars and is a contributor of transfer pricing articles for tax publications.

### **Qualifications and professional affiliations**

- Master of Financial Management of University of Alberta





**Sherman Yan**  
**Managing Partner, Head of Litigation & Dispute Resolution, ONC Lawyers**

Sherman Yan is the Managing Partner and Head of Litigation & Dispute Resolution of ONC Lawyers. He has many years of experience in handling complex commercial disputes, especially shareholders' disputes in listed companies and regulatory issues under the Securities and Futures Ordinance. Sherman has frequently acted for listed companies, their shareholders, directors and securities investors in dealing with inquiries and investigations by regulatory bodies.

Academically, Sherman is a contributor to legal textbooks including "Securities and Futures Ordinance (Cap.571): Commentary and Annotations" and "Tort Law & Practice in Hong Kong", both published by Sweet & Maxwell and also a co-author of "A Practical Guide to Resolving Shareholder Disputes – Second Edition" published by LexisNexis.

For registration, please visit [www.chkcl.org](http://www.chkcl.org) or scan the QR code.

For any enquiries, please feel free to contact the Secretariat at

 (852) 2970 0887 or  [info@chkcl.org](mailto:info@chkcl.org).

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