

CHKLC DIRECTOR TRAINING SERIES 2024

A six-part training programme aiming at supporting directorship in Hong Kong
(Total 12 CPT Hours)



30 Apr / 28 May / 20 Jun / 26 Sep / 31 Oct / 14 Nov 2024



Thursday 4:00 pm – 6:00 pm

**REGISTER
NOW**



Company directors play a pivotal role in company success. With the increasing demand of corporate governance and ESG of listed companies both from the regulators and shareholders, coupled with the tightening of various sets of rules and regulations with more serious consequences, company directors operate in an increasingly challenging environment. Once again, the Chamber of Hong Kong Listed Companies is launching a training programme for company directors. Addressing the common issues faced by directors, the programme will equip directors with the most relevant information and updated knowledge about directorship and will help them discharge their duties effectively.

The whole programme comprises six sessions dealing with the important aspects of directorship for a listed company. These range from corporate governance and ESG, risk management, taxation to the latest updates in various applicable rules and laws. Attending this training series will help fulfill the training requirements under the revised Code of Corporate Governance by the HKEX.

The Chamber is pleased to partner with BDO in offering this programme whose involvement ensures the relevance of the topics covered and adds depth to the discussion.

Date: 30 Apr / 28 May / 20 Jun / 26 Sep / 31 Oct and 14 Nov

Time: 4:00 pm – 6:00 pm

Hybirt

Venue: (Login details will be provided to participants in the confirmation email)

Language: Cantonese

Fee:		<u>Full Programme</u>	<u>Per Session(s)</u>
CHKLC Member / BDO Clients & Contacts		HKD3,024.00	HKD560.00
Others		HKD3,780.00	HKD700.00

CPT: 2 CPT Hours Per Session (Total 12 CPT Hours)

Topics:

1. Latest listing regime in HK and HK listing rules updates
2. Hong Kong Tax and PRC Tax updates
3. SFC and HKEX's Regulatory Oversight, Enforcement Actions and Director's Liabilities
4. ESG fraud and governance
5. HKEx's regulatory focus on listed companies and planning ahead for 2025
6. Annual Regulatory Update 2024

Enquiry: CHKLC Secretariat

☎ (852)2970 0886/7

☎ (852)2970 0555

✉ info@chkcl.org

CHKLC reserves the right of final discretion should there be any changes to the event.

www.chkcl.org



SESSION 1: Latest listing regime in HK and HK listing rules updates

Betsy Pon, Principal of Technical and Training, BDO Hong Kong

30 APR (TUE)
(2 CPT)

Overview: |

- Different new listing routes available on HKEx
- Annual report disclosures from the HKEx's review of annual report

SESSION 2: Hong Kong Tax and PRC Tax updates

Carol Lam, Director and Head of Tax, BDO Hong Kong

Rebecca Chen, Director of International Tax Services, BDO China

28 MAY (TUE)
(2 CPT)

Overview: |

- Hong Kong Tax (will be conducted in Cantonese)
 - Tax certainty enhancement scheme for capital gain
 - Updates on the Foreign Sourced Income Exemption (FSIE) regime – Expansion of scope in 2024
 - Implementation of global minimum tax and Hong Kong minimum top-up tax under BEPS 2.0
 - Court case on source of income for an executive director of a listed company
- PRC Tax (will be conducted in English)
 - Tax audit & tax controversy cases sharing
 - Other tax updates

SESSION 3: SFC AND HKEX'S REGULATORY OVERSIGHT, ENFORCEMENT ACTIONS AND DIRECTOR'S LIABILITIES

Sherman Yan, Managing Partner, Head of Litigation & Dispute Resolution | ONC Lawyers

20 JUN (THU)
(2 CPT)

Overview: |

- Explain SFC and HKEX's regulatory powers over listed issuers and directors
- Analyse themes of recent enforcement actions with real case illustration
- Common pitfalls for directors in SFO and listing rules compliance

SESSION 4: ESG fraud and governance

26 SEP (THU)
(2 CPT)

Vivian Chow, Director of Risk Advisory Services, BDO Hong Kong
Alpha Chia, Manager of Risk Advisory Services, BDO Hong Kong

Overview: |

- The rising challenge of ESG fraud – drivers and threats
- ESG regulatory landscape – compliance and oversight
- How to create strong ESG governance
- Managing and mitigating ESG fraud risks

SESSION 5: HKEx's regulatory focus on listed companies and planning ahead for 2025

31 OCT (THU)
(2 CPT)

Betsy Pon, Principal of Technical and Training, BDO Hong Kong

Overview: |

- HKEX's regulatory focus on listed companies
- Listing rules updates affecting listed issuers (Final year wrap up)
- Spin-off/ trading resumption/delisting

SESSION 6: ANNUAL REGULATORY UPDATE 2024

14 NOV (THU)
(2 CPT)

Desmond Yu, Partner | Hong Kong MinterEllison LTP
Jun Kwong, Partner | Hong Kong MinterEllison LTP

Overview: |

- An annual update on the laws and regulations that affect or are of concern to listed companies
- An annual date on the amendments (if any) to the Listing Rules, the Securities and Futures Ordinance, and the Companies Ordinance affecting listed companies

ABOUT THE SPEAKERS



Betsy Pon

Principal of Technical and Training, BDO Hong Kong

Before joining BDO, Betsy was a Risk and Quality specialist for the capital market services department in one of the international accounting firms, serving as a Subject Matter Expert in Hong Kong Listing Rules and the professional standards, other rules and regulations governing Hong Kong capital market transactions. She has accumulated over ten years of technical and market knowledge in Hong Kong capital market from her previous employment, with extensive experience in providing technical support on Hong Kong Listing Rules requirements and capital market transaction engagements, developing work practice and providing in-house trainings on matters relating to Hong Kong capital market etc.

Betsy is now responsible for providing technical support on matters relating to Hong Kong Listing Rules and Hong Kong Companies Ordinance and performing modified reports review.

Qualifications and professional affiliations

- Hong Kong Certified Public Accountants



Carol Lam

Director and Head of Tax, BDO Hong Kong

Carol has extensive experiences in providing Hong Kong and international tax consulting and compliance services to local, regional and multinational clients including listed groups and Chinese enterprises across a wide range of industries, including consumer and industrial products, manufacturing, retail and distribution, construction, real estate, financial services, transportation and logistics.

Carol is experienced in advising on tax-efficient holding structure, cross border transactions, corporate restructuring, tax planning for IPO, due diligence, mergers & acquisition deals, tax ruling application and individual tax planning. She also has extensive experience in representing clients in tax investigation and field audit cases and in tax dispute resolution.

She is a speaker in tax seminars and a contributor of tax articles for tax journals and local newspapers. Carol has acted as a technical reviewer in assisting Wolters Kluwer to review the Hong Kong Master Tax Guide.

Qualifications and professional affiliations

- Hong Kong Certified Public Accountant
- Fellow member of the Association of Chartered Certified Accountants
- Fellow member of The Taxation Institute of Hong Kong

ABOUT THE SPEAKERS



Rebecca Chen

Director of International Tax Services, BDO China

Rebecca has over 10 years working experience on tax function in two Big Four firms before joined BDO China. Among which, she was assigned to Hong Kong for eighteen months and focused on Hong Kong personal income tax.

Rebecca has led the delivery of services to a wide range of multinational clients from different industries including famous financial institutions, large manufacturing companies, high technology companies, etc.

Rebecca has extensive experience in managing large engagements and providing services covering tax compliance and related consulting, M&A, investment structure planning, global equity consulting, and foreign exchange consulting.

Rebecca is a Certified Public Accountant of Australia and US Enrolled Agent.



Vivian Chow

Director of Risk Advisory Services, BDO Hong Kong

She has over 19 years of experience working with multi-national publicly and privately held organisations across a wide array of industries include working with clients to achieve corporate governance compliance, SOX compliance readiness, ERM advisory, internal audit/internal control assistance, business process re-engineering and implementation, and various regulatory compliance review including Hong Kong listed company resumptions, Foreign Corrupt Practices Act (FCPA), and Special Purpose Acquisition Companies (SPACs).

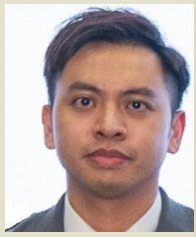
Her wealth of experiences also extends to assisting non-profit boards and overseeing government and quasi-government with governance, compliance and internal control review engagements, as well as independent audit risk assessments.

As a dedicated and passionate advocate for sustainability, environmental and socially responsible investing space, Vivian is also a Certified Environmental Social Governance Analyst CESGA. She is a frequent speaker of various subject matter in her practice at business and academic forums and listed and non-listed companies.

Qualifications and professional affiliations

- Certified Public Accountant (United States)
- Certified Environmental Social Governance Analyst CESGA

ABOUT THE SPEAKERS



Alpha Chia

Manager of Risk Advisory Services, BDO Hong Kong

Alpha Chia has more than 10 years' experience in the environmental industry. After graduated from The Hong Kong Polytechnic University, Alpha joined several main contracting construction companies in Hong Kong. He started as an assistant environmental officer and gradually became a project environmental manager with years of experience. His projects ranged from green buildings to ISO 14064, 14001 audit etc. He is qualified as a BEAM Pro NB 2.0. He was also responsible for environmental management plan, sustainable management report etc.

Alpha has also been working as Senior Consultant in one of the top tier construction consultant due to his skills and technical knowledge in the environmental and construction industry. After gaining experience from green building design, Alpha moved to another company to keep acquiring green knowledges from different areas eg, on green finance, sustainability-linked loan and climate related disclosure. As a project manager, he developed, maintained, and monitored the quality of the technical consultation service in green and sustainability finance provided by company to be delivered in an effective, efficient, and reliable way. He was a qualified lead assessor green bond/loan and sustainability-linked loan in the company and was a technical trainer in-house regarding climate scenario analysis for other colleagues. He is one of the author for five sets of 'Green Finance Implementation Guidebook for SME' in 2022 under TCF funding.

Currently, Alpha is responsible for ESG-related affairs at BDO, where he is responsible for technical support, ESG-related presentations and large-scale ESG client training.



Sherman Yan

Managing Partner, Head of Litigation & Dispute Resolution, ONC Lawyers

Sherman Yan is the Managing Partner and Head of Litigation & Dispute Resolution of ONC Lawyers. He has many years of experience in handling complex commercial disputes, especially shareholders' disputes in listed companies and regulatory issues under the Securities and Futures Ordinance. Sherman has frequently acts for listed companies, the shareholders, directors and securities investors in dealing with inquiries and investigations by regulatory bodies.

Academically, Sherman also a contributor to legal textbooks including "Securities and Futures Ordinance (Cap.571): Commentary and Annotations" and "Tort Law & Practice in Hong Kong", both published by Sweet & Maxwell and the co-author of "A Practical Guide to Resolving Shareholder Disputes", published by LexisNexis.

ABOUT THE SPEAKERS



Desmond Yu

Partner, Hong Kong MinterEllison LTP



Desmond has over 25 years of extensive experience in regulatory matters and complex commercial litigation, with a focus on contentious financial services and regulatory matters, including compliance and governance matters, statutory/confidential investigations, disciplinary proceedings and statutory public inquiries, and financial services and securities related criminal matters.

Desmond represents a wide spectrum of clients, including international conglomerates, state-owned enterprises, publicly listed companies, financial institutions and fund managers, high net-worth individuals, and participants of all levels in the financial industry. Desmond's experience in matters involving financial regulators (such as The Stock Exchange of Hong Kong Limited), statutory bodies (such as the Hong Kong Monetary Authority, Securities and Futures Commission, and Accounting and Financial Reporting Council) and other law enforcement agencies (such as the Commercial Crime Bureau of the HK Police and the Independent Commission Against Corruption).

Desmond's experience makes him one of the rare bilingual experts in this area of practice in Hong Kong.



Jun Kwong

Partner, Hong Kong MinterEllison LTP



Jun has 10 years of experience, currently focusing on regulatory matters, commercial litigation, competition, and probate and estate administration. In respect of securities regulatory matters, Jun has extensive experience in assisting listed companies, directors and other individuals in regulatory investigations brought by regulators (including the Securities and Futures Commission and The Stock Exchange of Hong Kong Limited), and in relevant regulatory proceedings (including Market Misconduct Tribunal proceedings). Jun also has experience in advising the Accounting and Financial Reporting Council on various significant matters concerning statutory investigations and enquiries.

Jun has handled various independent internal investigations conducted by listed companies concerning allegations of corporate misconduct, malfeasance or fraud, internal compliance policies and procedures and corporate governance. Jun also has practical experience in handling competition law matters, including enforcement actions commenced by the Competition Commission of Hong Kong.

To: The Chamber of Hong Kong Listed Companies

Tel: (852) 2970 0886 / 2970 0887

Fax: (852) 2970 0555 Email: info@chkclc.org

Registration Form

CHKLC DIRECTOR TRAINING SERIES 2024

(2 CPT hours per session)

Part 1: Registration Details (Please ✓ the appropriate boxes)

CHKLC Member | BDO Client / Contact | Others

Contact Person:

Company: _____ Code : _____

Address: _____

Name: _____ Job Title: _____

Tel.: _____ Mobile: _____ Email : _____

Full Name of Participants: (Please ✓ the appropriate boxes)

No.	Name	Job Title	Email	Session (S) / Full Programme (FP)	Fee
1				<input type="checkbox"/> S () <input type="checkbox"/> FP	
2				<input type="checkbox"/> S () <input type="checkbox"/> FP	
3				<input type="checkbox"/> S () <input type="checkbox"/> FP	
4				<input type="checkbox"/> S () <input type="checkbox"/> FP	
5				<input type="checkbox"/> S () <input type="checkbox"/> FP	

Note: For online registrations made by contacts of BDO, please use the registration codes of "BDO" respectively under the "Partner Code" section.

Total (HK\$) : _____

Part 2: Programme Details (Please ✓ the appropriate boxes) (Member/Partner: FP \$3024 / S \$560 ; Others: FP \$3780 / S \$700)

1.	<input type="checkbox"/> Full Programme (FP) (30/4, 28/5, 20/6, 26/9, 31/10 & 14/11)	
2.	<input type="checkbox"/> Session 1 30 April 2024 (Tue)	Latest listing regime in HK and HK listing rules updates
3.	<input type="checkbox"/> Session 2 28 May 2024 (Tue)	Hong Kong Tax and PRC Tax updates
4.	<input type="checkbox"/> Session 3 20 Jun 2024 (Thu)	SFC and HKEX's Regulatory Oversight, Enforcement Actions and Director's Liabilities
5.	<input type="checkbox"/> Session 4 26 September 2024 (Thu)	ESG fraud and governance
6.	<input type="checkbox"/> Session 5 31 October 2024 (Thu)	HKEX's regulatory focus on listed companies and planning ahead for 2025
7.	<input type="checkbox"/> Session 6 14 November 2024 (Thu)	Annual Regulatory Update 2024

Part 3: Notes

- Fees paid by crossed cheque should be made payable to "The Chamber of Hong Kong Listed Companies". Please mail to Room 3710, 37/F, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong
- Bank remittance: *Standard Chartered Bank (Hong Kong) Ltd (HKD S/A: 003-447-1-089308-9)*, please provide the bank-in slip via email to info@chkclc.org for confirmation of payment. All local and overseas bank charges are to be borne by remitter.
- Registration will be confirmed upon full payment by email notification.
- Registration fees paid are not refundable except if the event is fully booked or cancelled by CHKLC.
- Participants must attend the whole event including the question & answer session. Otherwise, no CPT hour will be given.
- CHKLC reserves the right of final discretion should there be any changes to the event.
- For enquiry, please contact CHKLC's Secretariat at (852) 2970 0886/2970 0887 or email to info@chkclc.org.