

CHKLC DIRECTOR TRAINING SERIES 2025

A six-part training programme aiming at supporting directorship in Hong Kong

*Fulfilling the latest HKEX mandatory Director Training requirements

(Total 12 CPT Hours)



29 Apr / 27 May / 24 Jun / 16 Sep / 14 Oct / 4 Nov 2025



Tuesday 4:00 pm – 6:00 pm

REGISTER
NOW



Company directors play a pivotal role in company success. With the increasing demand of corporate governance and ESG of listed companies both from the regulators and shareholders, coupled with the tightening of various sets of rules and regulations with more serious consequences, company directors operate in an increasingly challenging environment. Once again, the Chamber of Hong Kong Listed Companies is launching a training programme for company directors. Addressing the common issues faced by directors, the programme will equip directors with the most relevant information and updated knowledge about directorship and will help them discharge their duties effectively.

In addition, as of 1 July 2025, under the new Corporate Governance Code, First-time Directors are required to receive 24 minimum hours of training within 18 months from appointment. Attending this training programme will help fulfill this training requirement as well as serving as a refresher for incumbent directors to update their knowledge.

The whole programme comprises six sessions dealing with the important aspects of directorship for a listed company. They range from corporate governance and ESG, risk management, internal control to the latest updates in various applicable rules and laws.

The Chamber is pleased to partner with BDO in offering this programme whose involvement ensures the relevance of the topics covered and adds depth to the discussion.

Date: 29 Apr / 27 May / 24 Jun / 16 Sep / 14 Oct and 4 Nov
Time: 4:00 pm – 6:00 pm
Venue: Hybird
 Physical: BDO Limited, 25/F, Wing On Centre, 111 Connaught Road, Central, Hong Kong
 Zoom details: will be provided to participants in the confirmation email
 To enhance the knowledge of participants, there will be group discussions onsite at each session.
 Participants are encouraged to attend the training sessions in person.

Language: Cantonese

Fee:		<u>Full Programme</u>	<u>Per Session(s)</u>
	CHKLC Member / BDO Clients & Contacts	HKD3,132.00	HKD580.00
	Others	HKD3,888.00	HKD720.00

CPT: 2 CPT Hours Per Session (Total 12 CPT Hours)

Topics:

1. Navigating Directors' Duties and Governance Best Practices in Hong Kong
2. Introduction to Corporate Governance
3. Introduction to the Continuing Obligations of Listed Issuers
4. Understanding ESG and Integrating it into Corporate Strategy
5. Internal Controls and Risk Management
6. Annual Regulatory Overview 2025

Enquiry: CHKLC Secretariat ☎ (852)2970 0887 📠 (852)2970 0555 ✉ info@chkcl.org

CHKLC reserves the right of final discretion should there be any changes to the event.

www.chkcl.org



SESSION 1: Navigating Directors' Duties and Governance Best Practices in Hong Kong

Ronny Chow, Partner & Head of Corporate Finance Practice Group, Deacons
Alexander Que, Partner of Corporate Finance Practice Group, Deacons
Canny Lau, Partner of Corporate Finance Practice Group, Deacons

Special Guest Speaker:

Kelly Lee, Senior Vice President, Policy and Secretariat Services, Listing, HKEX

29 APR (TUE)
(2 CPT)

Overview: |

- An overview of board's role and directors' duties
- Independent non-executive directors' role as gatekeeper
- SFC's enforcement actions and HKEX's disciplinary actions against directors
- Onsite fireside chat with HKEX: Navigating the new corporate governance requirements

SESSION 2: Introduction to Corporate Governance

Vivian Chow, Director of Risk Advisory Services, BDO

27 MAY (TUE)
(2 CPT)

Overview: |

- Overview of the Hong Kong Corporate Governance Code
- Building Corporate Governance alarms - Red flags, key risk indicators and whistle blowing policy
- Promoting an ethical corporate culture
- Onsite group discussion

SESSION 3: Introduction to the Continuing Obligations of Listed Issuers

Betsy Pon, Principal of Technical Department, BDO

24 JUN (TUE)
(2 CPT)

Overview: |

- Introduction to notifiable transaction requirements and directors' role and responsibilities
- Introduction to connected transaction requirements and directors' role and responsibilities
- Listing rules requirements at a glance on certain corporate actions (eg issuance of new shares, spin-off etc.) and directors' role and responsibilities
- Directors' roles and responsibilities throughout the financial statements audit process
- Onsite group discussion

SESSION 4: Understanding ESG and Integrating it into Corporate Strategy

Ricky Cheng, Director & Head of Risk Advisory Services, BDO

16 SEP (TUE)
(2 CPT)

Overview:

- Definition and importance of ESG
- ESG reporting frameworks
- Integrating ESG into corporate strategy for sustainability
- Onsite group discussion

SESSION 5: Internal Controls and Risk Management

Herman Tsui, Principal of Risk Advisory Services, BDO

14 OCT (TUE)
(2 CPT)

Overview:

- Effective risk assessment and internal audit function
- Latest internal control and risk management systems and framework
- Putting in place effective internal control system
- Onsite group discussion

SESSION 6: Annual Regulatory Overview 2025

Desmond Yu, Partner, Hong Kong MinterEllison LTP

Jun Kwong, Partner, Hong Kong MinterEllison LTP

04 NOV (TUE)
(2 CPT)

Overview:

- An annual update on the laws and regulations that affect or are of concern to listed companies
- An annual date on the amendments (if any) to the Listing Rules, the Securities and Futures Ordinance, and the Companies Ordinance affecting listed companies
- Onsite group discussion

ABOUT THE SPEAKERS



Ronny Chow

Partner & Head of Corporate Finance Practice Group, Deacons

Ronny has headed Deacons' Corporate Finance Practice Group since 2008. He has over 30 years' experience in the corporate finance field and his practice spans across corporate finance and securities work as well as private and public mergers and acquisitions and private equity transactions.

Ronny is particularly well-known for advising on initial public offerings (IPOs) and listings on the Hong Kong Stock Exchange. He has advised on over 100 IPOs / new listings of companies in a broad range of industries, some of which are well-known international / domestic household names and enterprises. He has also advised on a significant number of takeover and privatisation transactions in recent years, including some high profile cases.



Alexander Que

Partner of Corporate Finance Practice Group, Deacons

Alex is a partner of Deacons' Corporate Finance Practice Group. He has over 27 years' experience in the corporate finance field. His practice involves corporate finance and securities and compliance work as well as private and public mergers and acquisitions and private equity transactions.

Alex has an impressive track record advising on significant M&A transactions, particularly takeovers and privatisations. He also regularly advises blue-chip companies, banks and other major clients on Hong Kong Listing Rules, Takeovers Code and securities law compliance matters (including issues concerning complex requirements of disclosure of interests under the Securities and Futures Ordinance).

He is currently a member of the Company Law Committee of The Law Society of Hong Kong.



Canny Lau

Partner of Corporate Finance Practice Group, Deacons

Canny is a partner of Deacons' Corporate Finance Practice Group. She has over 18 years' experience in the corporate finance field and her practice covers corporate finance and securities work ranging from IPOs, secondary issues, mergers and acquisitions, corporate restructurings, to local and cross-border joint ventures and other transactions.

In addition, she also regularly advises Hong Kong listed companies on their ongoing compliance and regulatory matters.

ABOUT THE SPEAKERS



Kelly Lee

Senior Vice President, Policy and Secretariat Services, Listing, HKEX



Ms Kelly Lee joined Hong Kong Exchanges and Clearing Limited (“HKEX”) in 2013. She works within the Policy and Secretariat Services Unit of the Listing Division, and has been involved in HKEX’s major listing policy. She is leading the corporate governance, diversity and ESG regulatory initiatives in recent years. Ms. Lee is currently a member of the Sustainability Committee, the Sustainability Disclosure Standards Committee and the Sustainability Assurance Advisory Panel of the Hong Kong Institute of Certified Public Accountants. She is also an advisor to the Climate Change Framework for Building and Construction initiative under the Sustainable Development Committee of the Hong Kong Green Building Council. Ms. Lee also serves on the Advisory Committee for the revamp of the Caring Company Scheme of The Hong Kong Council of Social Service.

Prior to joining HKEX, Ms Lee was a senior associate practicing corporate and securities law in Hong Kong. Ms Lee graduated from the University of Hong Kong with two bachelor's degrees in Business Administration (Law) and Laws. She is a qualified lawyer in Hong Kong and in England & Wales.



Vivian Chow

Director of Risk Advisory Services, BDO



Vivian Chow is a director of Risk Advisory Services, specialising in providing clients with assistance in their internal audit function and in meeting corporate governance requirements.

She has over 19 years of experience working with multi-national publicly and privately held organisations across a wide array of industries include working with clients to achieve corporate governance compliance, SOX compliance readiness, ERM advisory, internal audit/internal control assistance, business process re-engineering and implementation, and various regulatory compliance review including Hong Kong listed company resumptions, Foreign Corrupt Practices Act (FCPA), and Special Purpose Acquisition Companies (SPACs).

Her wealth of experiences also extends to assisting non-profit boards and overseeing government and quasi-government with governance, compliance and internal control review engagements, as well as independent audit risk assessments.

As a dedicated and passionate advocate for sustainability, environmental and socially responsible investing space, Vivian is also a Certified Environmental Social Governance Analyst CESGA. She is a frequent speaker of various subject matter in her practice at business and academic forums and listed and non-listed companies.

Qualifications and professional affiliations

- Certified Public Accountant (United States)
- Certified Environmental Social Governance Analyst CESGA
- Certified Digital Assets & MiCA® DiAM EFFAS

ABOUT THE SPEAKERS



Betsy Pon
Principal of Technical Department, BDO

Betsy Pon is a principal of Technical Department.

Betsy is an experienced professional with robust background in offering in-house technical support for various type of Hong Kong capital market transactions, such as IPOs, notifiable transactions and debt offerings etc. With over ten years of technical and market knowledge in Hong Kong capital market, she has established herself as a subject matter expert within the organisation particularly in areas of Hong Kong Listing Rules and the professional standards and other rules and regulations governing Hong Kong capital market transactions.

Betsy is frequently invited to deliver customised training sessions relating to Hong Kong capital market to Hong Kong listed issuers.

Qualifications and professional affiliations

- Hong Kong Certified Public Accountants
- Member of the Institute of Chartered Accountants in England and Wales



Herman Tsui
Principal of Risk Advisory Services, BDO

Herman Tsui is a principal of Risk Advisory Services.

Herman has over 15 years of experience in delivering financial statement audit, internal audit, IPO internal control due diligence, internal control review for trading resumption, IT audit, US SOX compliance and ESG (Environmental, Social & Governance) advisory services. The clients Herman served included Hong Kong and US listed companies, mainly in the manufacturing and service sectors.

Passionate about sharing his knowledge and experience in Internal Audit, Herman had also served as a trainer at Independent Commission Against Corruption (ICAC) and HKU SPACE.

Qualifications and professional affiliations

- Chartered Professional Accountant (Canada)
- Certified Internal Auditor
- Certified Information Systems Auditor
- Hong Kong Certified Public Accountant



Ricky Cheng

Director and Head of Risk Advisory Services, BDO

Ricky Cheng is Director and Head of Risk Advisory of BDO, with major responsibility in the area of risk advisory services. He specialises in assisting clients in managing their internal audit function and meeting corporate governance requirements in both Mainland China and Hong Kong.

Ricky has 20 years of risk and assurance experience. He worked for large international accounting firms and had commercial internal audit experience. His expertise covers various services such as Sarbanes-Oxley Compliance, risk management assessment, compliance assistance, corporate governance compliance review, internal audit assistance, business process review, Environmental, Social and Governance (ESG) readiness and reporting support services review, stored value facilities (SVF) licence independent assessment review, etc.

The portfolio of clients managed by Ricky comes from various industries including transportation, property development and construction, gaming and entertainment, hospitality, manufacturing, gold and minerals mining, fast moving consumer goods, retailing and department chain stores, financial institutions such as brokerage houses and derivative products companies.

Ricky is a frequent event speaker of related topics for professional body organisations and training for listed and non-listed companies.

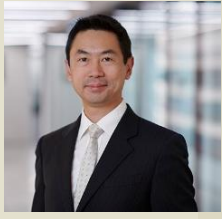
Current and past professional/public appointments

- Governor, The Institute of Internal Auditors Hong Kong (2021 – present)
- Advisory Board member of Global Centre of ESG Education and Research, The Hong Kong Management Association (2021 – present)
- Member, Advisory Peer Group of The Hong Kong Metropolitan University (2020 – present)
- Chairman, Research and Education Committee of The Institute of Internal Auditors Hong Kong (2021 – present)
- Member, Sustainability Committee of The Hong Kong Institute of Certified Public Accountants (2018 – present)
- Member, Training Committee of The Hong Kong Institute of Directors (2013)
- Member, ACCA Hong Kong Committee (2011 – 2013)

Qualifications and professional affiliations

- Fellow of the Hong Kong Institute of Certified Public Accountants
- Fellow of the Association of Chartered Certified Accountants
- Certified Internal Auditor

ABOUT THE SPEAKERS



Desmond Yu
Partner, Hong Kong MinterEllison LTP



Desmond has over 25 years of extensive experience in regulatory matters and complex commercial litigation, with a focus on contentious financial services and regulatory matters, including compliance and governance matters, statutory/confidential investigations, disciplinary proceedings and statutory public inquiries, and financial services and securities related criminal matters.

Desmond represents a wide spectrum of clients, including international conglomerates, state-owned enterprises, publicly listed companies, financial institutions and fund managers, high net-worth individuals, and participants of all levels in the financial industry. Desmond's experience in matters involving financial regulators (such as The Stock Exchange of Hong Kong Limited), statutory bodies (such as the Hong Kong Monetary Authority, Securities and Futures Commission, and Accounting and Financial Reporting Council) and other law enforcement agencies (such as the Commercial Crime Bureau of the HK Police and the Independent Commission Against Corruption).

Desmond's experience makes him one of the rare bilingual experts in this area of practice in Hong Kong.



Jun Kwong
Partner, Hong Kong MinterEllison LTP



Jun has 10 years of experience, currently focusing on regulatory matters, commercial litigation, competition, and probate and estate administration. In respect of securities regulatory matters, Jun has extensive experience in assisting listed companies, directors and other individuals in regulatory investigations brought by regulators (including the Securities and Futures Commission and The Stock Exchange of Hong Kong Limited), and in relevant regulatory proceedings (including Market Misconduct Tribunal proceedings). Jun also has experience in advising the Accounting and Financial Reporting Council on various significant matters concerning statutory investigations and enquiries.

Jun has handled various independent internal investigations conducted by listed companies concerning allegations of corporate misconduct, malfeasance or fraud, internal compliance policies and procedures and corporate governance. Jun also has practical experience in handling competition law matters, including enforcement actions commenced by the Competition Commission of Hong Kong.

To: The Chamber of Hong Kong Listed Companies

Tel: (852) 2970 0887 / 2970 0886

Fax: (852) 2970 0555 Email: info@chkclc.org

Registration Form

CHKLC DIRECTOR TRAINING SERIES 2025

(2 CPT hours per session)

Part 1: Registration Details (Please ✓ the appropriate boxes)

CHKLC Member | BDO Client / Contact | Others

Contact Person:

Company: _____ Code : _____

Address: _____

Name: _____ Job Title: _____

Tel.: _____ Mobile: _____ Email : _____

Full Name of Participants: (Please ✓ the appropriate boxes)

No.	Name	Job Title	Email	Session (S) / Full Programme (FP)	Fee
1				<input type="checkbox"/> S () <input type="checkbox"/> FP	
2				<input type="checkbox"/> S () <input type="checkbox"/> FP	
3				<input type="checkbox"/> S () <input type="checkbox"/> FP	
4				<input type="checkbox"/> S () <input type="checkbox"/> FP	
5				<input type="checkbox"/> S () <input type="checkbox"/> FP	

Note: For online registrations made by contacts of BDO, please use the registration codes of "BDO" respectively under the "Partner Code" section.

Total (HK\$) : _____

Part 2: Programme Details (Please ✓ the appropriate boxes) (Member/Partner: FP \$3,132 / S \$580 ; Others: FP \$3888 / S \$720)

1.	<input type="checkbox"/> Session 1	29 April 2025 (Tue)	Directors' Duties and Governance Best Practices in Hong Kong
2.	<input type="checkbox"/> Session 2	27 May 2025 (Tue)	Introduction to Corporate Governance
3.	<input type="checkbox"/> Session 3	24 Jun 2025 (Tue)	Introduction to the Continuing Obligations of Listed Issuers
4.	<input type="checkbox"/> Session 4	26 September 2025 (Tue)	Understanding ESG and Integrating it into Corporate Strategy
5.	<input type="checkbox"/> Session 5	14 October 2025 (Tue)	Internal Controls and Risk Management
6.	<input type="checkbox"/> Session 6	4 November 2025 (Tue)	Annual Regulatory Overview 2025
7.	<input type="checkbox"/> Full Programme (FP)	(29/4, 27/5, 24/6, 16/9, 14/10 & 4/11)	

Part 3: Notes

- Fees paid by crossed cheque should be made payable to "The Chamber of Hong Kong Listed Companies". Please mail to Room 3710, 37/F, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong
- Bank remittance: *Standard Chartered Bank (Hong Kong) Ltd (HKD S/A: 003-447-1-089308-9)*, please provide the bank-in slip via email to info@chkclc.org for confirmation of payment. All local and overseas bank charges are to be borne by remitter.
- Registration will be confirmed upon full payment by email notification.
- Registration fees paid are not refundable except if the event is fully booked or cancelled by CHKLC.
- Participants must attend the whole event including the question & answer session. Otherwise, no CPT hour will be given.
- CHKLC reserves the right of final discretion should there be any changes to the event.
- For enquiry, please contact CHKLC's Secretariat at (852) 2970 0887/2970 0886 or email to info@chkclc.org.